

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Andrew Heiges, et al.  
Serial No.: 10/751,331  
Filing Date: January 2, 2004  
Art Unit: 3695  
Confirmation No.: 7616  
Examiner: Narayanswamy Subramanian  
Title: *TECHNIQUES FOR ILLUSTRATING AND  
ANALYZING COLLEGE SAVINGS PLANS*

**MAIL STOP AMENDMENT**  
Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application.

The present application was filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of the U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed.

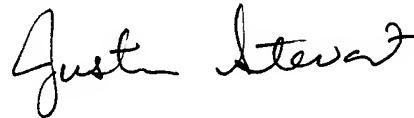
Pursuant to 37 C.F.R. §§ 1.97(g) and (h), Applicants make no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.

**REMARKS**

The first Office Action has been received by Applicants in the above identified patent application. Pursuant to 37 C.F.R. § 1.97(c), the Commissioner is hereby authorized to charge the \$180.00 IDS fee and to charge any deficiency or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.  
Attorneys for Applicants

A handwritten signature in black ink, appearing to read "Justin Stewart", written in a cursive style.

Justin N. Stewart  
Reg. No. 56,449

Date: October 19, 2009

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Customer No. **05073**